Financial Adviser Profile

Overview

I have worked as a stockbroker and financial planner for over 25 years for some of the world's biggest financial institutions. During this time though I came to feel more and more disengaged with the way that some of these larger businesses treat their clients – as a number rather than a person, a source of revenue rather than a human being. This led me to taking the steps to start Nest Financial Solutions, a local financial planning business for the local community. I have decades worth of expertise and experience in all manners relating to finances, for example super, tax, investing, Centrelink and retirement planning. My intention with Nest Financial Solutions is to provide practical, useful advice without the exorbitant fees often associated with it.

Financial advice doesn't need to be complicated or confusing. It doesn't need to be something for only the wealthy and above all financial advice doesn't need to be expensive. We are here to build long term relationships with our clients, to take on the worry and stress of your finances and ensure your money is working hard for you.

Dominic Brown is a Sub-Authorised Representative of Nest Financial Solutions Pty Ltd, Corporate Authorised Representative No. 1312348. Authorised Representative No. 342427.

Qualifications

Dominic Brown holds a Master of Financial Planning and meets the competency requirements under ASICs Regulatory Guide RG 146.

Professional Memberships

Dominic Brown is a member of Financial Advice Association of Australia (FAAA) and abides by their code of professional conduct and ethics.

Authorisations

Dominic Brown is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products and Life Risk Insurance Products
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services
- Deposit and Payment Products
- Retirement Savings Accounts (“RSA”) products
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government
- Superannuation
- Self Managed Superannuation Funds
- Securities

Restrictions – Securities Only
This authorised representative is only authorised to give class of product advice in relation to Securities.

Dominic Brown

Nest Financial Solutions

88 Elder Street
Lambton NSW 2299

M: 0413 030 724

dominic@nestfs.com.au
www.nestfinancialsolutions.com.au

Financial Adviser Profile

Nest Financial Solutions Advice Fees and Charges

Dominic Brown will be paid by Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you during your initial meeting.

Dominic will be charging an upfront advice fee for the preparation of a Statement of Advice and other Advice documents, which is between $1,650 to $4,400 incl. GST depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

Dominic provides the option of ongoing reporting and advisory services. This fee is $250 per month incl. GST. This will be confirmed prior to the commencement of any ongoing services.

Nest Financial Solutions pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Dominic is a Director of Nest Financial Solutions and will receive a salary/benefit from this company.

Other Benefits Dominic May Receive

From time to time Dominic may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than $300. A register listing the details of any non-cash benefits between $100 and $300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.

Level 1, 607 Bourke Street
Melbourne Victoria 3000
1300 306 900
www.capstonefp.com.au

This Adviser Profile has been authorised for distribution by Capstone Financial Planning Pty Ltd. AFSL No. 223135.
This Adviser Profile forms part of the Financial Services Guide (FSG) and is to be read in conjunction with the FSG.